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Deutsches Institut für
Entwicklungspolitik



German Development
Institute

International Trade Centre and the German Development Institute

Workshop on increasing the development impact of a multilateral framework on investment facilitation for development: concrete measures to facilitate sustainable FDI and CSR

Online workshop for WTO delegates and government officials

12 May 2020

The workshop is meant to make an input into the negotiations of a framework on investment facilitation for development at the WTO. The workshop is open to all WTO delegates and government representatives from capitals. Another workshop on the development dimension of a multilateral framework on investment facilitation for development, scheduled for June, will focus on issues related to the implementation of investment facilitation measures.

The discussions are partly informed by “An Inventory of Concrete Measures to Facilitate the Flow of Sustainable FDI: What? Why? How?” prepared for this workshop (attached). The inventory will be updated in light of the discussions at the workshop, as well as other work.

The workshop is carried out in the framework of the ITC/DIE project on Investment Facilitation for Development.

Programme

14:00-14:15 Opening, objectives and keynotes

Rajesh Aggarwal, Chief, Trade Facilitation & Policy for Business Section, International Trade Centre (ITC)

Ambassador Mathias Francke of Chile, Coordinator of the Structured Discussions on Investment Facilitation for Development

14:15-15:30 **Session I: Identifying key concrete investment facilitation measures to facilitate the flow of sustainable FDI**

Chair: Axel Berger, Senior Researcher, German Development Institute (DIE)

Panellists:

Karl P. Sauvart, Resident Senior Fellow, Columbia University/Columbia Center on Sustainable Investment (CCSI) - *Facilitating sustainable FDI*

Matthew Stephenson, Policy and Community Lead, International Trade & Investment, World Economic Forum - *Key measures to facilitate the flow of sustainable FDI*

Hilina Getachew, Chief of Staff to the Commissioner, Chief of Staff to the Commissioner, Ethiopian Investment Commission - *A practitioner's perspective*

Discussion

15:30 -15:40 **Coffee break**

15:40 -16:50 **Session II: A provision on corporate social responsibility in a multilateral framework on investment facilitation for development**

Chair: Karl P. Sauvart, Columbia University/CCSI

Panellists:

Ana Novik, Head of the Investment Division, OECD - *CSR clauses in IIAs*

Valéria Mendes Costa Paranhos, First Secretary, Ministry of Foreign Affairs, Brazil - *The Brazilian perspective*

Carlo Pettinato, Head of Unit, Investment, Directorate General for Trade, European Commission - *The EU perspective*

Discussion

16:50-17:00 **Closing remarks**

Karl P. Sauvart, Columbia University/CCSI, and **Axel Berger**, DIE

Bios:

Rajesh Aggarwal

Rajesh Aggarwal is Chief of the Trade Facilitation & Policy for Business Section of the International Trade Centre (ITC), Geneva. He is leading a programme of assisting the private sector in developing countries to be the change agent for trade policy reform and engage in business advocacy with their governments in design and implementation of trade policies and negotiating positions that reflect the business interests. Before joining the ITC, he worked for the Indian Government and participated in WTO Doha Round of trade negotiations. He has published papers in the area of trade negotiations including a paper titled “Dynamics of Agriculture Negotiations in WTO” in the *Journal of World Trade*.

Axel Berger

Axel Berger is a Senior Researcher at the German Development Institute / Deutsches Institut für Entwicklungspolitik (DIE). He works on the design, effects and diffusion patterns of international trade and investment agreements, with a focus on emerging markets and developing countries. Other areas of current research include the effects of an international investment facilitation framework, the impact of free trade agreements on upgrading within global value chains and the role of the G20 in global governance. He teaches international political economy at the University of Bonn and regularly advises developing countries, development agencies and international organisations on trade and investment matters.

Valéria Mendes Costa Paranhos

Ms. Costa is diplomat at the Brazilian Ministry of Foreign Affairs. She currently works in the Services and Industry Promotion Department, dealing with investment issues. Previously, she served in the Brazilian Mission to the WTO, where she was in charge of dispute settlement. Ms. Costa has a Bachelor's degree in law from the Catholic University of São Paulo, a Master Degree in Political Science from Sciences Po - Paris and a Master Degree in International Dispute Settlement from the Graduate Institute - Geneva.

Mathias Francke

Mathias Francke is Coordinator of the Structured Discussions on Investment Facilitation for Development and the 2019 APEC SOM Chair. Previously, he was Director General for Multilateral Economic Affairs, Director for Bilateral Economic Affairs, Chief of Cabinet and senior advisor at the Chilean Vice Minister of Trade. As a Foreign Service officer since 1989, he has been posted to the Chilean Embassy to the UK as Deputy Head of Mission (2014 to 2018), the Permanent Mission of Chile to the WTO (2000 to 2005 and as Deputy Head of Mission from 2006 to 2010) and the Trade Office of the Chilean Embassy to the USA (1992 to 1996). Mr. Francke is a lawyer from the Catholic University of Chile and has a graduate degree from the School of Foreign Service at Georgetown University.

Hilina Getachew

Ms. Getachew is currently serving as an advisor to the Ethiopian Investment Commission in the role of Chief of Staff Commission to the Commissioner where she oversees the grievance and aftercare work of the Commission. She is also currently serving as the Secretariat and member to the Legal Counsel in charge of revising the Investment Legal Framework of the Country. Before she assumed her current position, she served as an Intervention Manager in a project that supported clients in the garment sector, creating a liaison between job seeking, unskilled labour and investors in Ethiopia for better livelihood.

Ana Novik

Ana Novik, as Head of the Investment Division of the Directorate for Financial and Enterprise Affairs, supports the Director in DAF's contribution to the strategic orientations of the Secretary General, with a focus on improving the international investment climate, promoting good domestic policies to support investment and Responsible Business Conduct. She establishes strategies for the OECD to secure a leadership role in the international investment debate and to advance a more structured economic analysis of investment flows and impact. She also contributes to OECD-wide initiatives, including horizontal work streams on competitive neutrality, global value chains and Enter contributions to the G20. Prior to joining the OECD, Ms. Novik was the Ambassador Director of Multilateral Economic Affairs in the Economics Directorate of Chile's Ministry of Foreign Affairs and Trade from 2011 to 2014.

Carlo Pettinato

Carlo Pettinato is Head of the Unit responsible for investment policy, in the Directorate General for Trade, European Commission. Before this post he was Deputy Head of Unit responsible for trade relations with Latin America. He started his EU career in the trade defence department in DG Trade and then moved to become EU negotiator on investment issues in the WTO, OECD, ASEM, and in the context of the EU-Chile and EU-Mercosur negotiations (1998-2004). Between 2005 and 2011, he was posted as economic counsellor in the EU Delegations to Jamaica (Kingston) and Central America (Managua).

Karl P. Sauvant

Karl P. Sauvant introduced the idea of an International Support Program for Sustainable Investment Facilitation in the E15 Task Force on Investment Policy in 2015. From there, the proposal was taken forward in the WTO. He has written extensively on this subject (see <https://ssrn.com/author=2461782>), participated in various events relating to it and currently assists the ITC and DIE on a project on Investment Facilitation for Development. He retired in 2005 as Director of UNCTAD's Investment Division and established, in 2006, what is now the Columbia Center on Sustainable Investment (CCSI), stepping down as its Executive Director in 2012, to focus his work, as a CCSI Resident Senior Fellow, on teaching, research and writing.

Matthew Stephenson

Matthew Stephenson is Policy and Community Lead for International Trade and Investment at the World Economic Forum, where he manages the [Global Investment Policy and Practice](#) initiative. He works closely with governments, firms and civil society in several emerging markets to identify measures that facilitate investment and maximise investment's sustainable development impact. Previously, he worked at the IFC, where he led the workstream on outward FDI. He has also worked at the OECD on Africa and investment and served as a diplomat for the U.S. Department of State, leading an economic team on Afghanistan and managing economic programmes in the Middle East. He is a member of the T20 Task Force on Trade and Investment. Mr. Stephenson has a PhD from the Graduate Institute in Geneva, a master's from the Harvard Kennedy School and a bachelor's from Oxford University.

Background material

“An Inventory of Concrete Measures to Facilitate the Flow of Sustainable FDI: What? Why? How?”

[“Advancing Sustainable Development by Facilitating Sustainable FDI, Promoting CSR, Designating Recognized Sustainable Investors, and Giving Home Countries a Role”, Karl P. Sauvant and Evan Gabor \(December 2019\).](#)

[“Investment Facilitation for Sustainable Development: Index Maps Adoption at Domestic Level,” Axel Berger \(October 2019\).](#)

[“Business Responsibilities and Investment Treaties Consultation”, paper by the OECD Secretariat \(2020\).](#)

[“What can Governments Do to Facilitate Investment? Important Measures Identified through Surveys”, Matthew Stephenson and Ahmed Omics \(December 2019\).](#)

[WTO Joint Ministerial Statement on Investment Facilitation for Development \(December 2017\).](#)

[WTO Joint Ministerial Statement on Investment Facilitation for Development \(November 2019\).](#)

[EU Proposal for WTO disciplines and commitments relating to investment facilitation for development. \(INF-IFD-RD46 – EU\) \(February 2020\).](#)